

COMPLIANCE - REPRESENTATIVES AND KEY INDIVIDUALS REGISTER- BRAVURA SOLUTIONS

	Div	List of Names		KI	Rep	under supervi	DOFA Date	From Date	End Date (and comment)	Supervision Agreement	Supervision Portfolio	EXAMS completed YES/NO	Date of EXAM completed	Exams to be written	Experience Required	Experience Completed	Class of Business Training Completed	Product Training Completed	CPD 2022
1	SS	Ian Matthews	Director	Key Individual	Rep	Rep	19/10/2004	Qualified	Qualified	Qualified	Qualified	Yes	Completed	RE1 and RE5 Completed	2 years	Yes	completed	completed	Completed
2	SS	Kemp Munnik	Director	Key Individual	Rep	Rep		Qualified	Qualified	Qualified	Qualified	Yes	RE1- 15/09/2017 RE5-08/09/2017	RE1- 15/09/2017 RE5-08/09/2017	2 years	Yes	completed	completed	Completed
3	SS	Jared Waters	Principal		Rep	Rep	17/06/2011	Qualified	Qualified	Qualified	Qualified	Yes	RE5-08/10/2018	RE5-08/10/2018	2 years	Yes	completed	completed	Completed
4	SS	Mark Bester	Principal		Rep	Rep	02/05/2017	02/05/2017	Qualified	Qualified	Qualified	Yes	RE5-29/10/2018	RE5-29/10/2018	2 years	Yes	completed	completed	Completed
5	SS	Mari Loubser	Principal		Rep	Rep	01/07/2018	01/07/2018	31/07/2020	Qualified	Qualified	Yes	RE5-01/10/2018	RE5-01/10/2018	2 years	Yes	completed	completed	Completed
6	SS	Rudelle Ellmann	Associate		Rep	Rep	17/08/2015	Qualified	Qualified	Qualified	Qualified	Yes	RE5- 07/10/2015	RE5- 07/10/2015	2 years	Yes	completed	completed	Completed
7	SS	Samantha Thornhill	Associate		Rep	Rep	03/01/2017	03/01/2017	03/01/2020	Qualified	Qualified	Yes	RE5-08/10/2018	RE5-08/10/2018	2 years	Yes	completed	completed	Completed
8	SS	Jaco Le Grange	Analyst		Rep	Rep	8-Jan-18	Qualified	Qualified	Qualified	Qualified	Yes	RE5-08/10/2018	RE5-08/10/2018	2 years	Yes	completed	completed	Completed
9	SS	Ruve Burger	Analyst		Rep under supervision	Rep under supervision	11/11/2019	11/11/2019	11/11/2021	Yes	Rep under supervision	Yes	RE5-10/02/2020	RE5-10/02/2020	2 years	Under supervision	completed	completed	Completed
10	SS	Burnadene vd Meulen	Analyst		Rep under supervision	under supervi	10/05/2021	10/05/2021	10/05/2023	Yes	Rep under supervision	Yes	RE5 - 07/10/2021	RE5 - 07/10/2021	2 years	Under supervision	To be confirmed	To be confirmed	Completed